



NOV 04 2002

#60  
BJ  
25-04 Q161

TRANSMITTAL LETTER (General - Patent Pending)	Docket No. 01-023
--	----------------------

In Re Application Of: ALDERUCCI et al.

Serial No.	Filing Date	Examiner	Group Art Unit
09/933,588	August 21, 2001	Not Yet Assigned	2161

Title:

**METHOD AND APPARATUS FOR PROVIDING A SUPPLEMENTARY PRODUCT SALE AT A POINT-OF-SALE TERMINAL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Supplemental Information Disclosure Statement, 5 pp.;  
 PTO Form-1449, 1 pg.; & Reference Cited therein, 1 pg.;  
 Assertion of Small Entity Status, 1 pg.; and  
 Return Receipt Postcard.

in the above identified application.

- No additional fee is required.
- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of \_\_\_\_\_
- Credit any overpayment.
- Charge any additional fee required.



**RECEIVED**  
NOV 07 2002  
**GROUP 3600**

Signature

Dated: October 31, 2002

Dean P. Alderucci  
 Attorney for Applicants  
 PTO Registration No. 40,484  
 Walker Digital, LLC  
 203.461.7337/phone  
 203.461.7300/fax

Customer No. 22927

Alderucci@walkerdigital.com

CC:

I certify that this document and fee is being deposited on October 31, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Veronika S. Leliever

Typed or Printed Name of Person Mailing Correspondence



PATENT

Application Serial No.: 09/933,588  
Attorney Docket No.: 01-023

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alderucci et al.

Serial No.: 09/933,588

Filing Date: August 21, 2001

For: **METHOD AND APPARATUS FOR  
PROVIDING A SUPPLEMENTARY  
PRODUCT SALE AT A POINT-OF-  
SALE TERMINAL**

) Examiner: Not Yet Assigned  
) Group Art Unit: 2161  
) Attorney Docket No: 01-023  
) Customer No.: 22927  
)  
)



**RECEIVED**

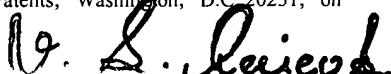
NOV 07 2002

**GROUP 3600**

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on October 31, 2002.

Dated: 10.31.02 By:

  
Veronika S. Leliever

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark

Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

1. [ ] Applicants respectfully direct the Examiner's attention to the pending U.S. patent applications listed on the enclosed Form PTO-1449. The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. Applicants would be pleased to provide the Examiner with a copy of these applications upon request.
2. [ ] For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s): \_\_\_\_\_  
\_\_\_\_\_.
3. [ ] For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): \_\_\_\_\_.
4. [X] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
  - [ ] 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.
  - [ ] 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.
  - [X] 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.

5. [ ] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. [ ] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 10 below.
7. [ ] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
  - b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
  - c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.
8. [ ] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:

- [ ] 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;
- [ ] 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.

9. [ ] I hereby certify:

- [ ] that each item of information contained in the items listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.
- [ ] that no item of information contained or listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

10. [ ] Please accept payment of the fees due as indicated below:

[ ] A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).

[ ] The Commissioner is hereby authorized to charge \$180.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p).

11. [X] The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.

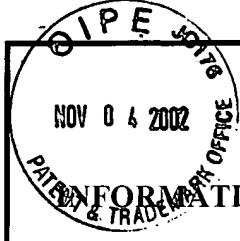
12. [ ] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with a Request for Continued Examination.

Respectfully submitted,



Dean P. Alderucci  
Attorney for Applicants  
Registration No. 40,484  
[Alderucci@WalkerDigital.com](mailto:Alderucci@WalkerDigital.com)  
Walker Digital, LLC  
(203) 461-7337/phone  
(203) 461-7300/fax

October 31, 2002  
Date



 <b>NOV 04 2002</b> <b>PATENT &amp; TRADEMARK OFFICE</b> <b>INFORMATION DISCLOSURE CITATION</b>	Docket Number	Serial Number
	01-023	09/933,588
	Applicants	
	Alderucci et al.	
Filing Date	Group Art Unit	
August 21, 2001	2161	

## **U.S. PATENT DOCUMENTS**

**RECEIVED**

NOV 07 2002

# GROUP 3600

## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)**

	A	<b>Myhre, James W., "Examiner's Affidavit", dated February 22, 2001.</b>